

# Possibility of Room Temperature Superconductivity

## Why is $\text{MgB}_2$ So Pathetic?

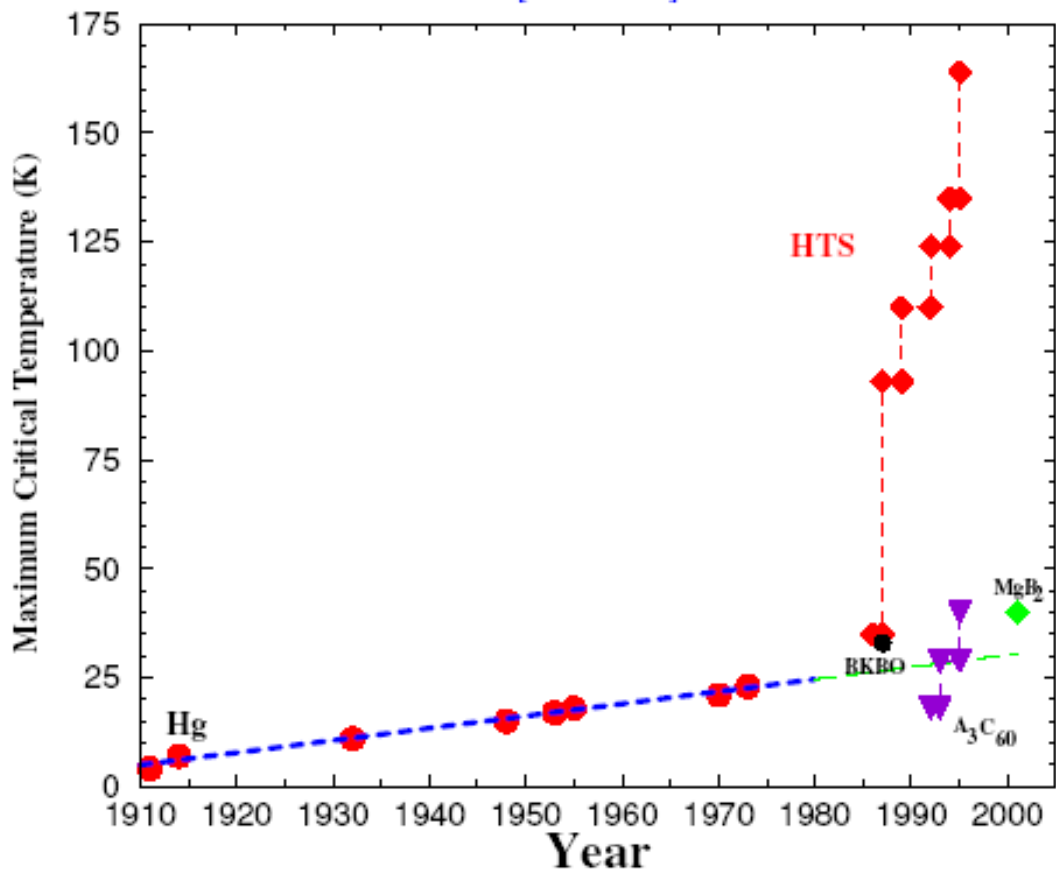
Warren E. Pickett

Acknowledgments to:

Joonhee An, Michelle Johannes, Deepa Kasinathan, Kwan-woo Lee  
Helge Rosner, Ruben Weht  
Alex Kitiagorodsky  
Neil Ashcroft

# $T_C$ versus Time

[since 1980]

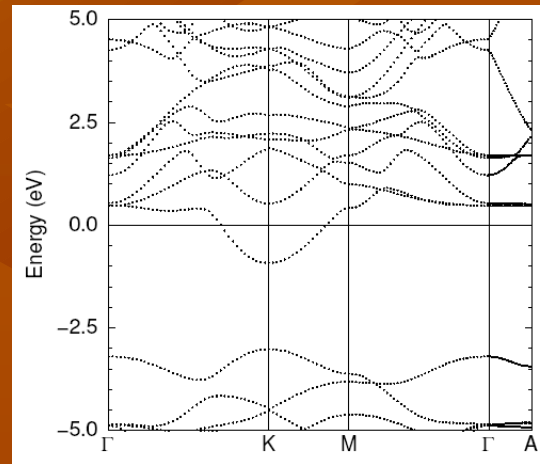
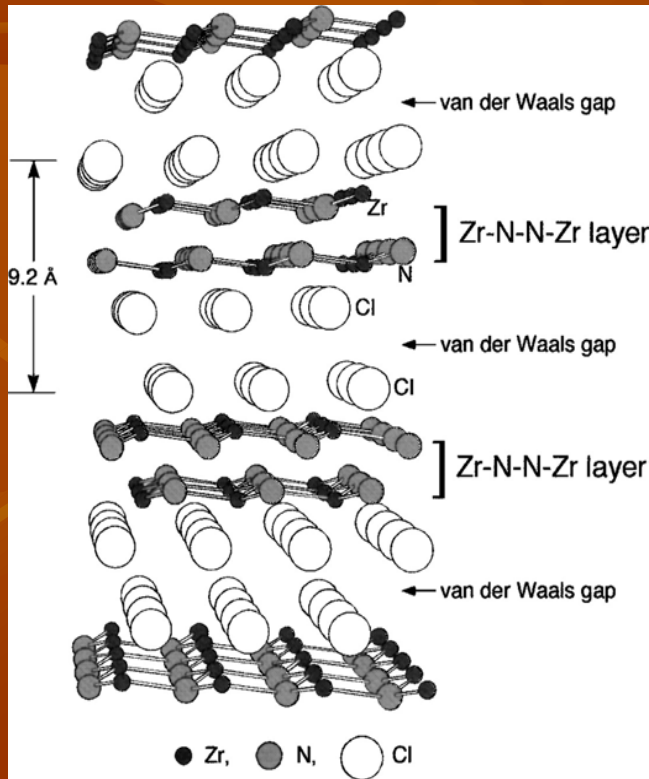


# The Other High Temperature Superconductors

- 40 K  $\text{MgB}_2$ : a new s-p class with only one member (? $\text{C}_{2-x}\text{B}_x$  ?)
- 40 K  $\text{Cs}_3\text{C}_{60}$ : the lowest density  $\text{A}_3\text{C}_{60}$  fulleride
- 35 K  $(\text{Ba},\text{K})\text{BiO}_3$  (BKBO): s-p system, no excuse for this  $T_c$
- 25 K  $\text{Li}_x(\text{ZrNCl})_2$ : 2D layers, simple(?), low  $N(0)$
- 20 K Li at 40 GPa: simple 2s metal pushed to its limits (2p)
- 19 K  $\text{PuCoGa}_5$ : 5f electron system, a new game entirely

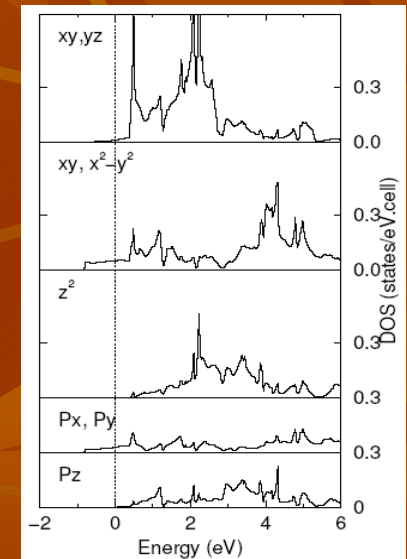
# $\text{Li}_x\text{ZrNCl}$ : $T_c = 25 \text{ K}$

Layered, covalent-metallic bonded ZrN, van der Waals ZrNCl layers



Simple, high velocity bands at Fermi level

In-plane d band holds the carriers



# Edward Teller's Last Guess

J. Supercond. 14, 299 (2001)

“Under special circumstances, it may be possible to observe an unusual phenomenon: a superconducting state which exists only at high temperatures.” ....E.T., abstract.

The concept: the low temperature phase may be a broken symmetry one is which has occurred in order to alleviate the ‘tension’ in the system arising from a high  $N(E_F)$ , viz. as from a band Jahn-Teller effect.

The higher  $N(E_F)$  in the symmetric high-T phase may be enough to overcome the normal pair-breaking effect of raising the temperature.

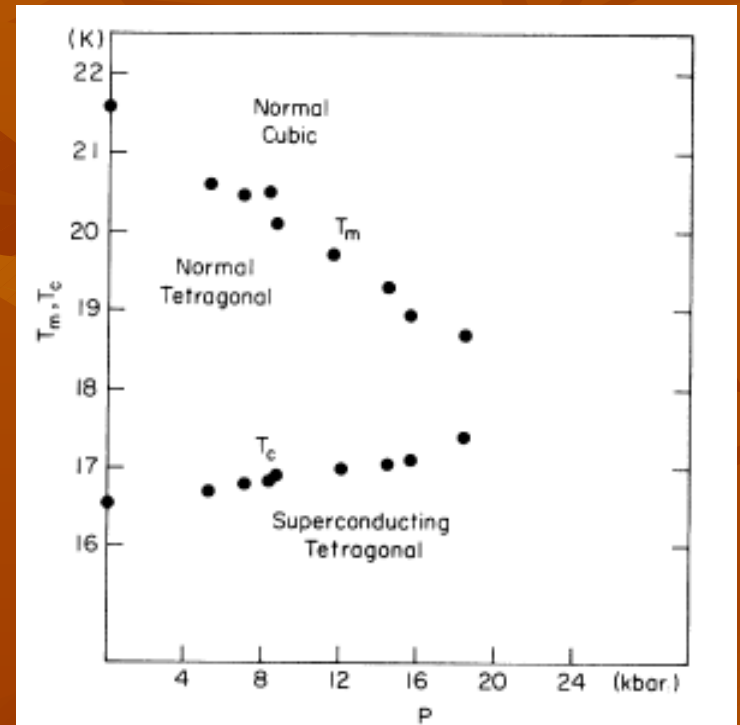
There is a history of such transitions interfering with superconductivity, with  $T_c$  being driven down by a structural transition. The inference is that one should look at structural (other?) transitions at higher temperature.

# Strong Coupling; Structural Instability

1965-1975: strong coupling superconductivity observed to be accompanied by (near or actual) structural instabilities

Strong/very strong coupling:

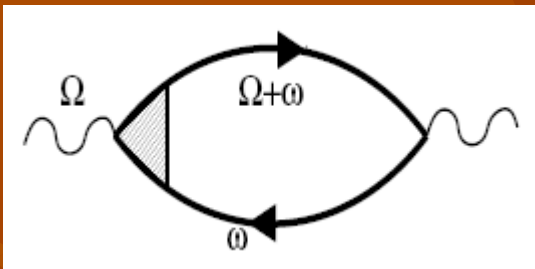
$$\lambda = 1.5 - 2.5$$



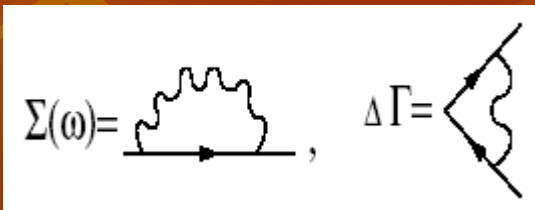
Experimental phase diagram of  $V_3Si$ . Chu and Testardi, 1974.

# Phonon-paired Superconductivity

Electrons become paired by (attractive) exchange of virtual phonons, which overcomes the (repulsive) electron-electron repulsion if the el-ph coupling is sufficiently strong. Pairs coalesce into a macroscopic broken-gauge-symmetry state with long-range phase coherence.



Phonon self-energy bubble: electron-hole pair creation and reabsorption



Electron self-energy: phonon emission and reabsorption

“Migdal’s theorem”: vertex corrections are order  $m/M$  (negligible) in normal state



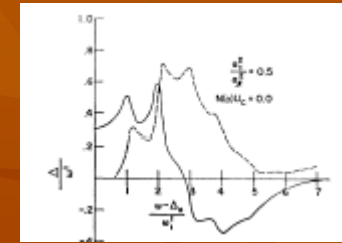
# Theory of Strong Coupling Superconductivity

G. M. Eliashberg, *Sov. Phys. JETP* (1960)

Scalapino, Schrieffer, Wilkins, *Phys. Rev.* (1966)

Starting from full electron+nuclei Hamiltonian

- \* Presume electrons form a Fermi liquid state
- \* Presume stable structure → conventional phonons
- \* Presume conventional el-ph theory holds (Migdal's theorem)
- \* Presume el-el interaction is not anomalous



Complex-valued energy-dependent gap function for Pb

Rowell,  
McMillan,  
Feldman  
(1969)

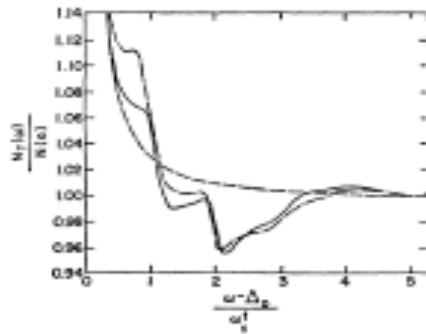


Fig. 10. The effective tunneling density of states  $N_T(\omega)/N(0) = \text{Re}(\omega/(\omega^2 - \Delta^2(\omega))^{1/2})$  versus  $(\omega - \Delta_0)/\omega_1$  (solid) obtained from  $\Delta$  of Fig. 8. The ratio of the differential conductance of Pb in the superconducting to that in the normal state (Ref. 25) is plotted (dash-dot) as a function of  $(\omega - \Delta_0)/\omega_1$ . The prediction of the simplified BCS model  $\omega/(\omega^2 - \Delta^2)^{1/2}$  is shown as the short dashed curve.

Electron-Phonon Coupling:  
General Results for  $\lambda_{Q\nu}$  and  $\gamma_{Q\nu}$   
P. B. Allen, *PR B6*, 2577 (1972)

$$\lambda = \frac{1}{N_\nu} \sum_{Q,\nu=1}^{N_\nu} \lambda_{Q\nu} = 2 \int \frac{\alpha^2 F(\omega)}{\omega} d\omega$$

$$\alpha^2 F(\omega) = \frac{2}{\pi N(0)\omega} \sum_{Q\nu} \gamma_{Q\nu} \delta(\omega_{Q\nu} - \omega)$$

$$\omega_{Q\nu}^2 = \Omega_{Q\nu}^2 + 2\Omega_{Q\nu}^2 \text{Re} \Pi(Q, \omega_{Q\nu})$$

$$\gamma_{Q\nu} = \frac{\Omega_{q\nu}}{\omega_{Q\nu}} \text{Im} \Pi(Q, \omega_{Q\nu})$$

$$= \pi \sum_k |M_{k,k+Q}|^2 \delta(\epsilon_k) \delta(\epsilon_{k+Q})$$

$$\lambda = \frac{1}{N_\nu} \sum_{Q\nu} \lambda_{Q\nu} = \frac{4}{\pi N(0)} \sum_{Q\nu} \frac{\gamma_{Q\nu}}{\omega_{Q\nu}^2}$$

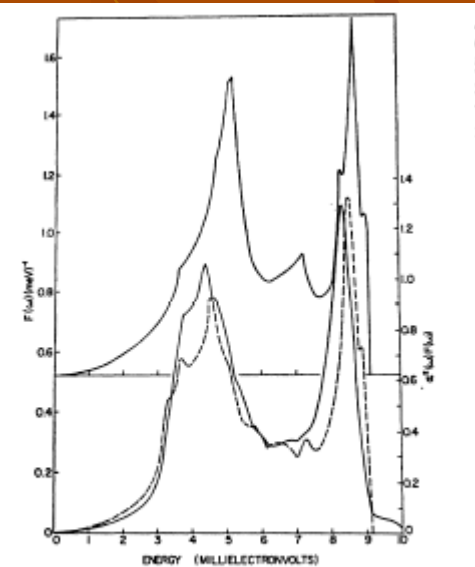


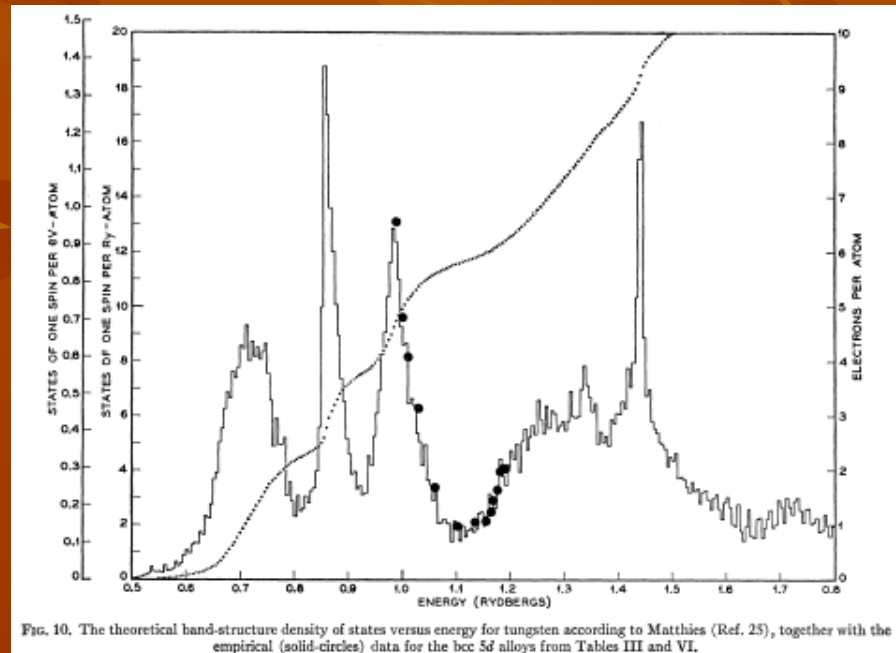
Fig. 1. Upper trace shows the phonon density  $F(\omega)$  in Pb taken from Ref. 1. The lower dashed curve is the phonon density from Ref. 8. The area under the spectrum is normalized to 3. The lower solid line is the function  $\alpha^2 F(\omega)$  determined from superconducting tunneling.



# “Matthias’s Rules” for High $T_c$

- 1. Must have d electrons (not just s-p, nor f)
- 2. High symmetry is good, cubic is best
- 3. Certain electron concentrations are favored
- (peak in density of states at Fermi level)

McMillan (1968)



# Strong Coupling: Good News, Bad News

*P. B. Allen*

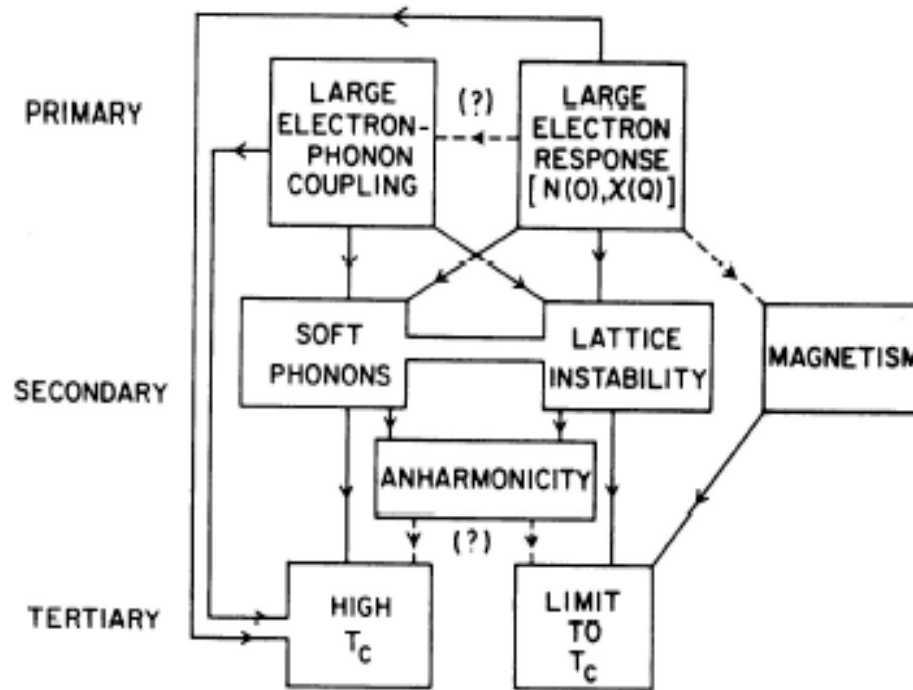


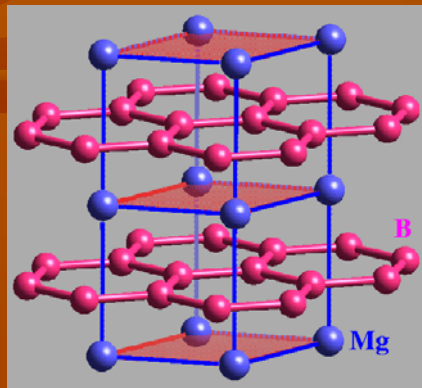
Fig. 3. Causative relations are indicated by arrows. High  $T_c$  is partly a direct consequence of the primary factors, and partly indirect through the phonon dispersion. Dashed lines with arrows represent less certain relationships.

# Akimitsu's Discovery: 2001



$\text{MgB}_2$ , a common chemical reagent.

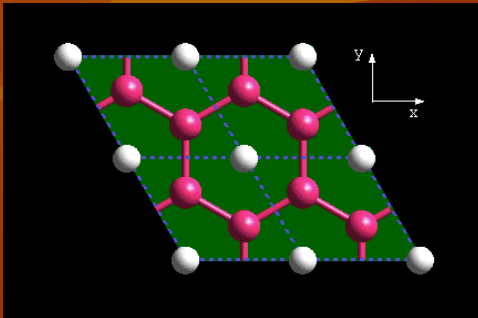
Searching for ferromagnetism,  
superconductivity at **40 K** was discovered



Quickly reproduced and synthesis techniques  
were extended by several groups

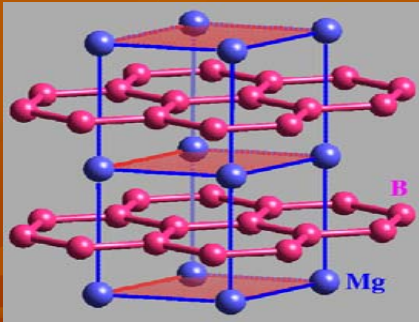
Crystal structure is simple. Quasi-2D.

Electronic structure is simple: s-p electrons.

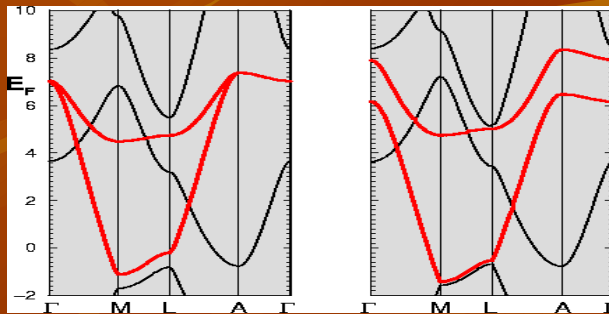
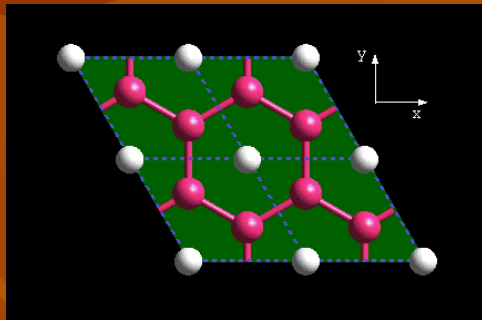


Nagamatsu, Nakagawa, Muranaka, Zenitani, and Akimitsu,  
*Nature* **410**, 63 (2001)

# Four Months Later: Puzzle Solved!



1. MgB<sub>2</sub>: covalent bonds become metallic
2. Deformation potential  $D=13$  eV/A  
(amazingly large for a metal)
3. 2D (cylinder) Fermi surfaces focus strength
4. Yet structure remains stable: intrinsic covalency



T. Yildirim (NIST)

J. M. An and WEP, Phys. Rev. Lett. (2001)  
 J. Kortus et al., Phys. Rev. Lett. (2001)  
 Y. Kong et al., Phys. Rev. B (2001)  
 K.-P. Bohnen et al., Phys. Rev. Lett. (2001)  
 .....more.....

Y. KONG, O. V. DOLGOV, O. JEPSSEN, AND O. K. ANDERSEN

PHYSICAL REVIEW B 64 020501(R)

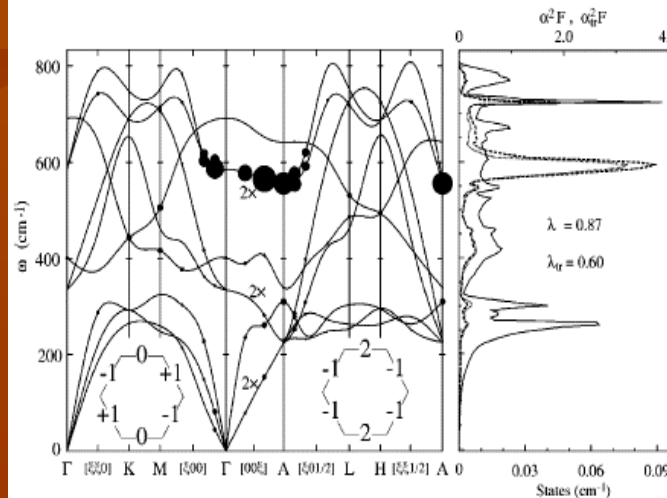


FIG. 1. Left: Calculated phonon dispersion curves in MgB<sub>2</sub>. The area of each circle is proportional to the mode  $\lambda$ . The insets at the bottom show the two  $\Gamma$ -d E eigenvectors (not normalized), which apply to the holes at the top of the  $\sigma$  bands (bond-orbital coefficients) as well as to the optical bond-stretching phonons (relative change of bond lengths). Right:  $F(\omega)$  (full curve and bottom scale),  $\alpha^2(\omega)F(\omega)$  (broken), and  $\alpha^2_{\rho}(\omega)F(\omega)$  (dotted). See text.

# Pinpointing of Strong Electron-Phonon Coupling

Y. KONG, O. V. DOLGOV, O. JEPSEN, AND O. K. ANDERSEN

PHYSICAL REVIEW B 64 020501(R)

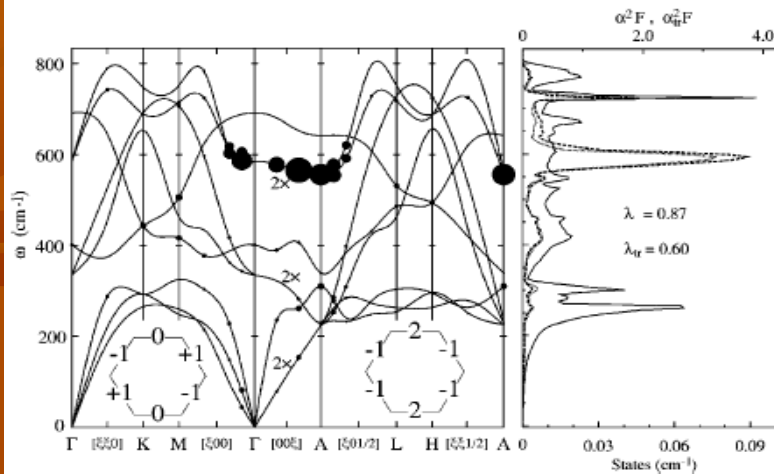
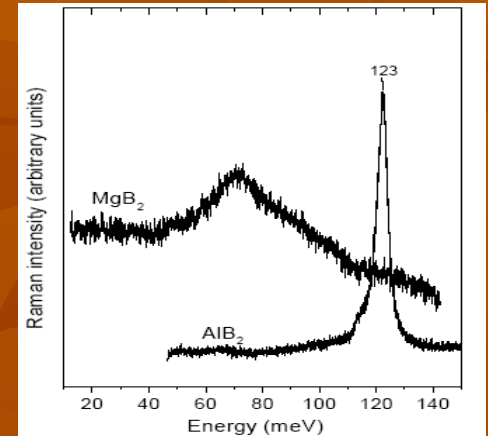
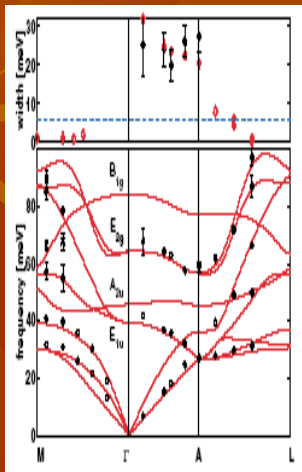


FIG. 1. Left: Calculated phonon dispersion curves in  $\text{MgB}_2$ . The area of each circle is proportional to the mode  $\lambda$ . The insets at the bottom show the two  $\Gamma$ -A  $E$  eigenvectors (not normalized), which apply to the holes at the top of the  $\sigma$  bands (bond-orbital coefficients) as well as to the optical bond-stretching phonons (relative change of bond lengths). Right:  $F(\omega)$  (full curve and bottom scale),  $\alpha^2(\omega)F(\omega)$  (broken), and  $\alpha_{tr}^2(\omega)F(\omega)$  (dotted). See text.



Raman spectrum  
Bohnen, Heid, Renker (2002)



Shukla et al. Phys. Rev. Lett. (2003)  
Inelastic x-ray scattering measurements

W. Weber et al.,  
PRL (1978)

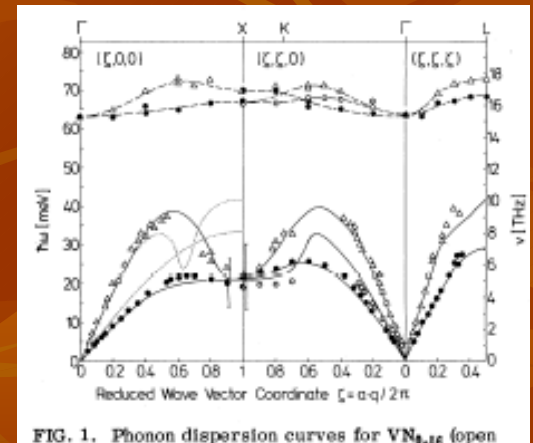


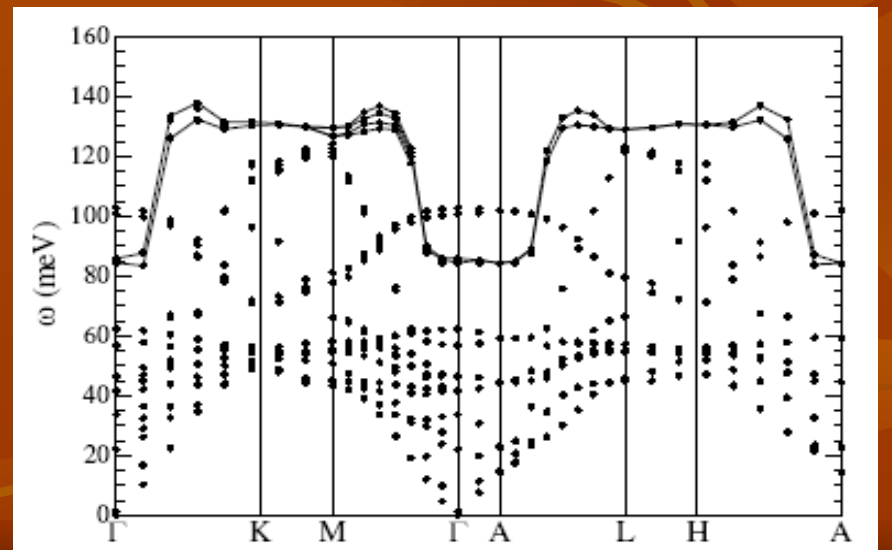
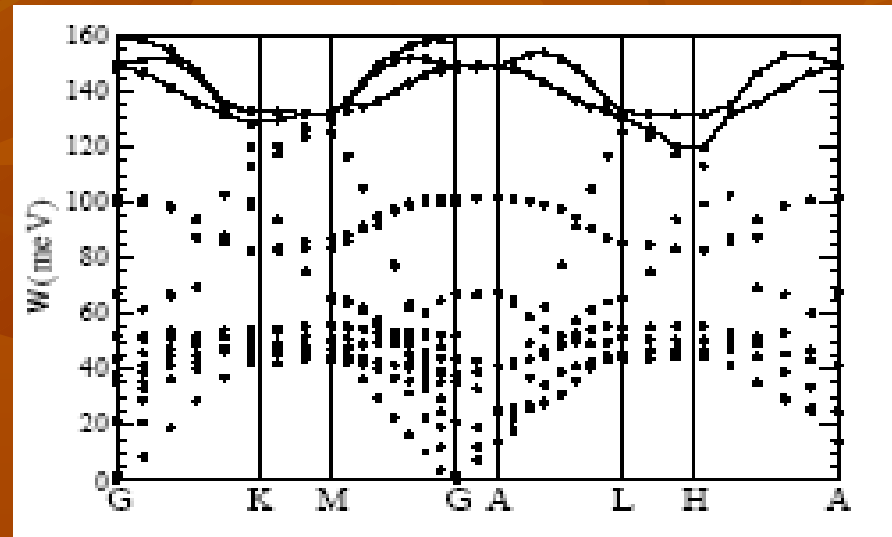
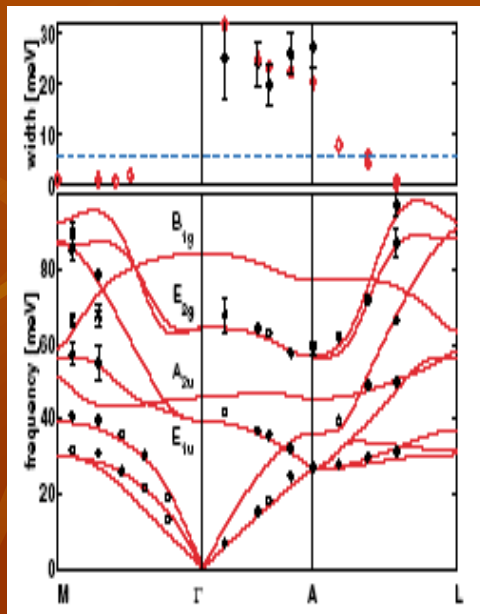
FIG. 1. Phonon dispersion curves for  $\text{VN}_{1.6}$  (open

# Extreme

## Electron-Phonon Coupling Strength Calculated for $\text{Li}_{1-x}\text{BC}$

Semiconductor  $x=0$   
Simple vibrational spectrum

Metal for  $x=0.25$   
**Extreme** Kohn anomalies





# The Miraculous Nature of MgB2

Complete and utter scorn of the conventional wisdom

1. Total violation of Matthias's Rules.
2. Focusing of coupling to a few select modes
  - $Q < 2k_F$  comprises 12% of the BZ area
  - 2 of 9 phonon branches only
  - $\rightarrow 0.12 \times 2/9 \rightarrow$  only **3% of phonons** are being used!!



# Phonons and Electrons in 2D

## Limits to Strong Coupling in 2D

2D MgB<sub>2</sub>-like material, circular Fermi surfaces

$$\omega_{Q\nu}^2 = \Omega_{Q\nu}^2 + 2\Omega_{Q\nu}\Pi(Q, \omega_{Q\nu})$$

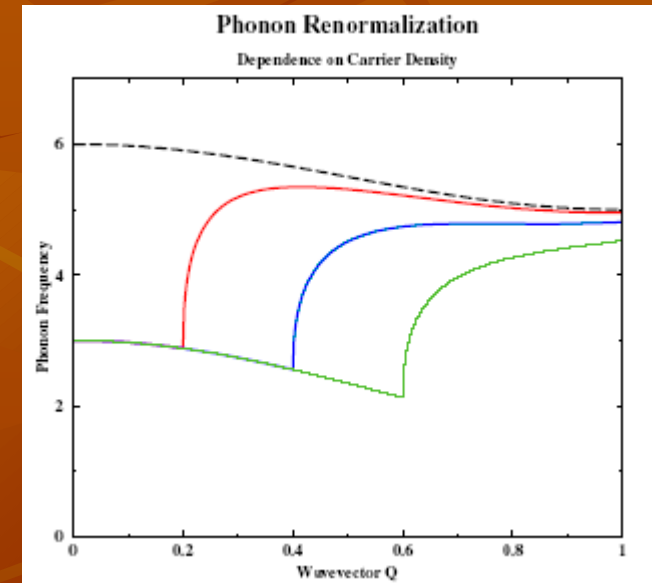
$$\Pi^\sigma(Q, \omega) = -2 \sum_k |g_{k,Q}|^2 \frac{f_k - f_{k+Q}}{\varepsilon_{k+Q} - \varepsilon_k - \omega - i\delta},$$

$$\Re \Pi^\sigma(Q, \omega_Q) \approx -2|g|^2 N(0) d_b^2 \hat{\chi}_L^{2D}(Q),$$

$$\hat{\chi}_L^{2D}(Q) = \theta(1 - \eta_Q) + [1 - \sqrt{1 - \eta_Q^{-2}}] \theta(\eta_Q - 1)$$

$$\eta_Q \equiv \frac{Q}{2k_F}, \quad |g|^2 = \frac{\mathcal{D}^2}{2M\Omega}$$

$$\frac{\omega_Q^2}{\Omega_Q^2} = 1 - d_b^2 \frac{m^*}{2\pi} \frac{\mathcal{D}^2}{M\Omega_Q^2}, \quad Q < 2k_F$$



# El-Ph Coupling in MgB<sub>2</sub>-like Systems

## Mode $\lambda_Q$ and Total Coupling Strength $\lambda$

$$\lambda_Q = \frac{2}{\pi N(0)} \frac{\gamma_Q}{\omega_Q^2}$$

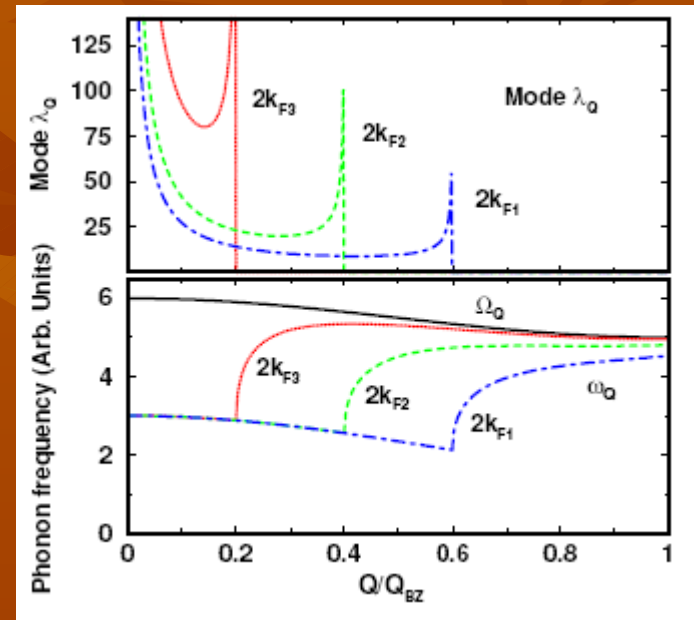
$$\gamma_Q = \pi \Omega_Q |g|^2 [N(0)]^2 d_b^2 \hat{\xi}(Q),$$

$$\hat{\xi}(Q) = \frac{\sum_k \delta(\varepsilon_k) \delta(\varepsilon_{k+Q})}{[\sum_k \delta(\varepsilon_k)]^2} = \frac{A_{BZ}}{A_{FS}} \frac{1}{\pi} \frac{\theta(1-\eta)}{\eta \sqrt{1-\eta^2}}$$

$$\lambda = \sum_{Q\nu} \lambda_{Q\nu} = d_b^2 \frac{N(0) \mathcal{D}^2}{M \omega_Q^2} \leftrightarrow \frac{N(\varepsilon_F) \langle I^2 \rangle}{M \langle \omega^2 \rangle}$$

$$= \frac{d_b^2 N(0) \mathcal{D}^2}{M \Omega_Q^2 - d_b^2 N(0) \mathcal{D}^2}, \quad N(0) = \frac{m^*}{2\pi}$$

$$= \frac{\lambda_o}{1 - \lambda_o}, \quad \lambda_o \equiv \frac{d_b^2 N(0) \mathcal{D}^2}{M \Omega_Q^2}$$



- mode  $\lambda_Q$  scales inversely with carrier density ( $A_{FS} \equiv \pi k_F^2$ )
- total  $\lambda$  is independent of carrier density

## Why Isn't MgB<sub>2</sub> a Higher T<sub>c</sub> Material?

How could MgB<sub>2</sub> have been better? Relations to use:

$$\lambda_o \equiv \frac{d_b^2 N(0) \mathcal{D}^2}{M \Omega^2}; \quad \lambda = \frac{\lambda_o}{1 - \lambda_o}$$

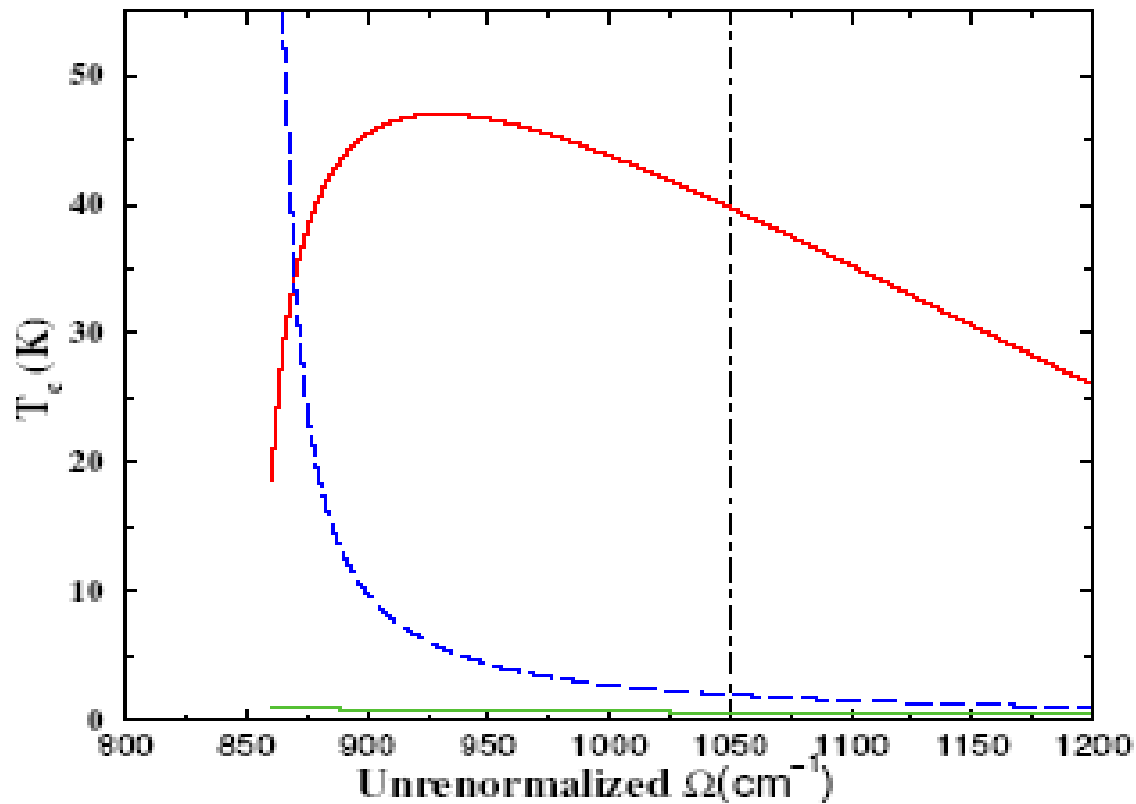
$$T_c \approx \frac{\langle \omega \rangle}{4} [e^{2/\lambda_{eff}} - 1]^{-1/2}; \quad \lambda_{eff} = \frac{\lambda - \mu^*}{1 + 0.75 \lambda \mu^* + 2 \mu^*}$$

- Suppose  $\Omega$  had been different from what it is,  $\Omega_{AlB_2} = 1050 \text{ cm}^{-1}$ . With other factors the same, the parent system would have been **unstable** if  $\Omega = 850 \text{ cm}^{-1}$ . Hence MgB<sub>2</sub> is not so far from not having existed.
- What if  $\Omega$  were even bigger?  $\lambda_o$  and  $\lambda$  would be smaller but the energy/temperature scale (prefactor in T<sub>c</sub>) would have been higher.
- What if the coupling (deformation potential  $\mathcal{D}$ ) had been larger? If  $\mathcal{D}$  were 20% larger ( $\mathcal{D}^2$  44% larger),  $\lambda_o \rightarrow 1$  and MgB<sub>2</sub> would be unstable.

$$T_c = \frac{\langle \omega \rangle}{8} \left[ e^{\lambda_{eff}^2} - 1 \right]^{1/2}$$

### $T_c$ vs. Unrenormalized $\Omega$

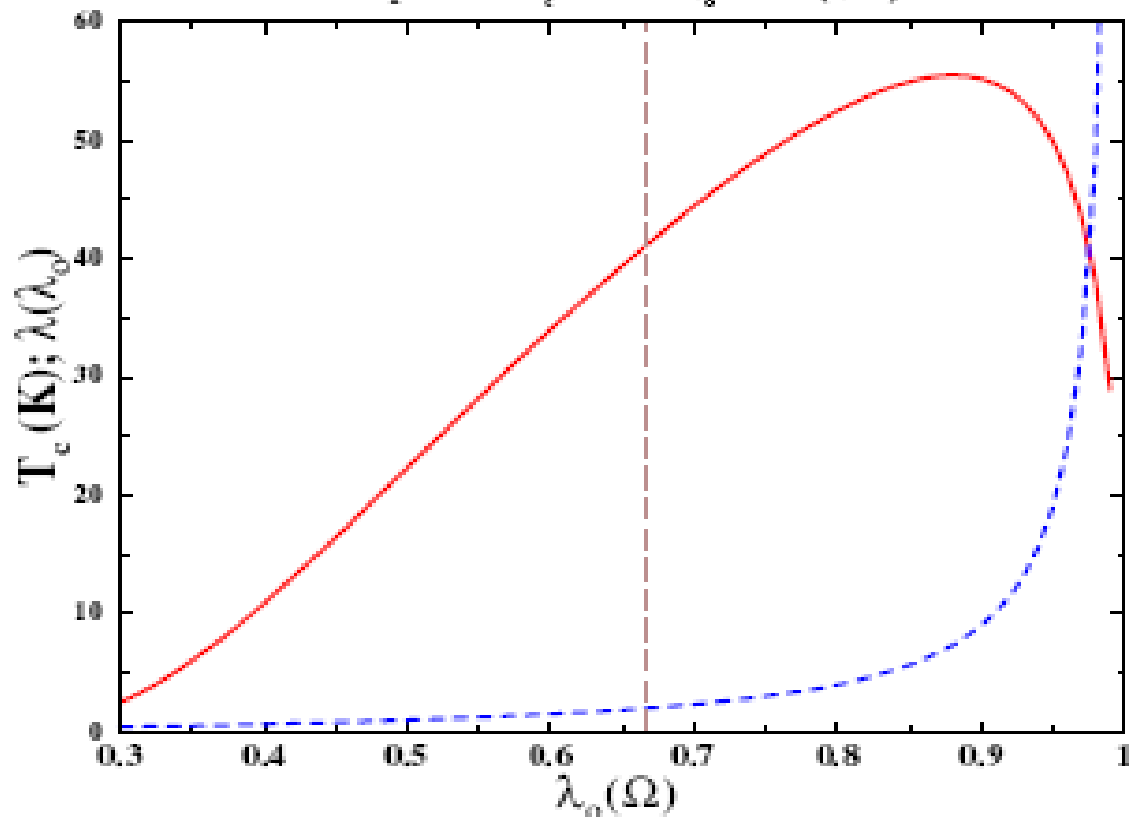
$MgB_2$  is not far from optimal



$$T_c = \frac{\langle \omega \rangle}{8.75} [e^{\lambda_{eff}^2} - 1]^{1/2}$$

$T_c$  vs.  $\lambda_0 = d_b^2 N(0) D^2 / M \Omega^2$

Optimum  $T_c = 55$  K at  $\lambda_0 = 0.98$  ( $\lambda = 8$ )



# Is there **some way** to win? **Yes.**

One must avoid the lattice instability, keep

$$\lambda_o \equiv \frac{d_b^2 N(0) \mathcal{D}^2}{M \Omega_Q^2} < 1,$$

In fact it should not get too near unity.

So, increase  $\Omega$ . But keep  $\lambda_o$  remains optimal  $\rightarrow$  increase  $d_b^2 N(0) \mathcal{D}^2$  proportionally.

**“Build a stiffer lattice, then beat it down with stronger coupling.”**

Options:

- increase  $N(0)$ : maybe, but may get **magnetism**.
- increase  $\mathcal{D}$ . Find harder reference systems, then make them metallic.
- increase the band/Fermi surface degeneracy, **use the power of 2**.

What is the limit? In at least one nonsymmorphic (cubic) space group there is a sixfold degeneracy of one band symmetry at the R point (BZ corner). This could get a factor of  $6^2 = 36$  if one needed it, and one probably would, to overcome the 3D DOS  $N(\varepsilon) \propto \sqrt{|\varepsilon - \varepsilon_o|}$ . {Think of B-doped diamond.}

## So: why wasn't $\text{MgB}_2$ better than 40 K?

- Reference system ( $\text{AlB}_2$ ) was too restricting
  - - increasing  $d_b^2 \times N(0) \times D^2$  would lead to  $T_c$  around 55 K,
    - with instability to follow
  - - decreasing the unrenormalized frequency,  $T_c$  would peak
    - below 50 K (then crash to instability)
- The Hope: obtain a stiffer reference system,
  - with very strong coupling; add carriers
- Need: very strongly bonded, light atom xtals